

UTILITY PATENT APPLICATION TRANSMITTAL

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing is the patent application of:

INVENTOR(S): Peter R. BADOVINATZ, Chun-Shi CHANG, Steven E. FROEHLICH and
Jeffrey S. LUCASH

TITLE: *POLICY DRIVEN AUTOMATION - SPECIFYING EQUIVALENT RESOURCES*

ASSIGNEE INFORMATION PURSUANT TO 37 C.F.R. 1.76

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION

ASSIGNEE RESIDENCE: ARMONK, NEW YORK


In connection with this application, the following are enclosed:

- XX Fee Transmittal Form
- 53 Pages of Specification, Claims and Abstract
- 25 Claims
- 11 Sheets of Drawings (FIGS. 1-14)
- XX Declaration and Power of Attorney
- XX Information Disclosure Statement and Form PTO-1449
- XX Assignment recordation sheet with assignment to: INTERNATIONAL BUSINESS MACHINES CORPORATION
- XX Please direct all correspondence to **Customer Number 23334**.

Respectfully submitted,

FLEIT, KAIN, GIBBONS, GUTMAN
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BY:


Jose Gutman
Reg. No. 35,171

22389 U.S. PTO
10/674996
09/30/03

FEE TRANSMITTAL

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The fee has been calculated as shown below. (Small entity fees indicated in parentheses.)

For	Number Filed		Number Extra	Rate Large (Small)	Basic Fee \$750 (\$375)
Total Claims	25	20	5	\$18 (\$9)	90
Independent Claims	6	3	3	\$84 (\$42)	252
Multiple Dependent Claims				\$280 (\$140)	0
Assignment Recording Fee				\$40	40
				TOTAL FEE:	\$ 1,132


XX The Commissioner is hereby authorized to charge Deposit Account No. 09-0463 in the amount of \$1132. A duplicate copy of this sheet is enclosed.

XX The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-0463.

Respectfully submitted,

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Reg. No. 35,174

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Atty Docket: POU920030133US1
Peter R. BADOVINATZ *et al.* : APPLICATIONS BRANCH
Serial No. (not yet assigned) :
Filed: HEREWITH :

For: *POLICY DRIVEN AUTOMATION - SPECIFYING EQUIVALENT RESOURCES*

CERTIFICATE OF EXPRESS MAIL MAILING

"Express Mail" Mailing Label No. **EV343426350US**

Date of Deposit: **September 30, 2003**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

I hereby certify that

☒ Application Transmittal
☒ Fee Transmittal Form
☒ Specification, Claims, Abstract
☒ 1 set of 11 sheets of drawings
☒ Declaration and Power of Attorney
☒ Information Disclosure Statement and Form PTO-1449
☒ Assignment Recordation Sheet with Assignment
☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to:

MS Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

9/30/03
Date of Deposit

Karen Taragowski
Name of person mailing papers

Karen Taragowski
Signature